



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

Inventor(s): Sean E. Carolan et al.

Docket No.: 2000-0296A

Application No.: 09/812314

Filing Date: 03/20/2001

Examiner Name:

Group Art Unit: 2661

Title: Method and Apparatus for Coordinating a Change in Service Provider  
Between a Client and a Server with Identity Based Service Access  
Management

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**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C. F. R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- ☒ 1. This information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); within three months of the date of the entry of the national stage as set forth in §1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. No certification or fee is required. (37 C.F.R. § 1.97(b)).
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under § 1.53(d), more than three months after the date of the entry of the national stage as set forth in §1.491 in an international application, after the mailing date of a first Office action on the merits, or after the mailing of a first Office action after the filing of a request for continued examination under §1.114, but before the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311 or an action that otherwise closes prosecution in the application. (37 C.F.R. § 1.97(c)).

☐ a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. § 1.97 (e)(1)).

☐ b. I hereby certify that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. § 1.97 (e)(2)).

☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. § 1.17(p) and to ensure consideration of the disclosed information.

☐ 3. This information disclosure statement is being filed after the mailing date of any of a Final action under § 1.113, a Notice of Allowance under § 1.311, or an action which otherwise closes prosecution, whichever occurs first, but on or before payment of the Issue Fee. (37 C.F.R. § 1.97(d)).

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Respectfully,

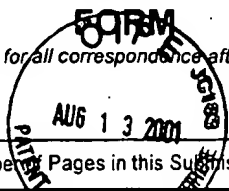
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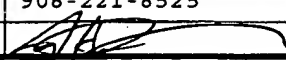
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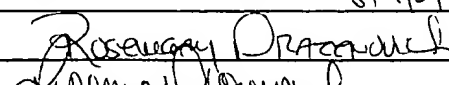

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing) 	Application Number	09/812314
	Filing Date	03/20/2001
	First Named Inventor	Sean E. Carolan et al.
	Group Art Unit	2661
	Examiner Name	
Total Number of Pages in this Submission	Attorney Docket Number	2000-0296A

Enclosures (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits / Declaration(s) <input type="checkbox"/> Petition for Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Drawing(s) & Letter to Official Draftsman <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communications to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional enclosure(s) (please identify below) <div>13 references cited in IDS</div>
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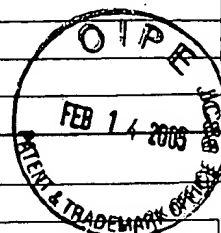
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

**Application Number** 09/812,314**Filing Date** March 20, 2001**First Named Inventor** Carolan**Group Art Unit** 2661**Examiner Name** Pizarro**Attorney Docket No:** 2000-0296A

Sheet 1 of 1

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
PW	US-2001/0043595	11/22/2001	Aravamudan, et al.	cited in related application
	US-2001/0049729	12/06/2001	Carolan, et al.	related application
	US-2001/0049737	12/06/2001	Carolan, et al.	related application
	US-2002/0010608	01/24/2002	Faber, et al.	cited in related application
	US-2002/0036658	03/28/2002	Carolan, et al.	related application
	US-2003/0172170	09/11/2003	Johnson, et al.	cited in related application
	US-2004/0004968	01/08/2004	Nassar, Ayman	cited in related application
	US-5,754,176	05/19/1998	Crawford	cited in related application
	US-5,790,548	08/04/1998	Sistanizadeh, et al.	cited in related application
	US-5,884,024	03/16/1999	Lim, et al.	cited in related application
	US-5,918,016	06/29/1999	Brewer, et al.	cited in related application
	US-5,937,417	08/10/1999	Nielsen	cited in related application
	US-6,005,931	12/21/1999	Neyman, et al.	cited in related application
	US-6,101,499	08/08/2000	Ford, et al.	cited in related application
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	US-6,212,561	04/03/2001	Sitaraman, et al.	cited in related application
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	US-6,297,824	10/02/2001	Hearst, et al.	cited in related application
	US-6,345,051	02/05/2002	Gupta, et al.	cited in related application
	US-6,385,651	05/07/2002	Dancs, et al.	cited in related application
	US-6,424,654	07/23/2002	Daizo, Satoshi	cited in related application
	US-6,486,895	11/26/2002	Robertson, et al.	cited in related application
	US-6,496,206	12/17/2002	Mernyk, et al.	cited in related application
	US-6,606,663	08/12/2003	Liao, et al.	cited in related application
	US-6,657,991	12/02/2003	Akgun, et al.	cited in related application
	US-6,667,751	12/23/2003	Wynn, et al.	cited in related application
	US-6,678,732	01/13/2004	Mouko, et al.	cited in related application
PW	US-6,697,864	02/24/2004	Demirtjis, et al.	cited in related application
	US-6,748,439	06/08/2004	Monachello, et al.	cited in related application

EXAMINER

R. Pizarro

DATE CONSIDERED

12/29/05

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) : Applicant is to place a check mark here if English language Translation is attached